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<b>(21) International Application Number:</b> PCT/US98/12282 <b>(22) International Filing Date:</b> 12 June 1998 (12.06.98)  <b>(30) Priority Data:</b> 60/049,758 13 June 1997 (13.06.97) US 60/049,801 17 June 1997 (17.06.97) US  <b>(71) Applicant:</b> UNIVERSITY TECHNOLOGY CORPORATION [US/US]; Suite 250, 3101 Iris Avenue, Boulder, CO 80301 (US).  <b>(72) Inventors:</b> FREED, Curt, R.; 9080 E. Jewell Circle, Denver, CO 80231 (US). PRASAD, Kedar, N.; 351 Fairfax Street, Denver, CO 80220 (US).  <b>(74) Agents:</b> GREENLEE, Lorance, L. et al.; Greenlee, Winner and Sullivan, P.C., Suite 201, 5370 Manhattan Circle, Boulder, CO 80303 (US).	<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>	
<b>(54) Title:</b> TRANSPLANTATION OF IMMORTALIZED DOPAMINE CELLS TO IMPROVE NEUROLOGICAL DEFICITS  <b>(57) Abstract</b>  An immortalized line of human fetal brain cells capable of synthesizing dopamine has been developed. No tumor formation or rejection at 30 days post-transplantation has been detected. The cells are useful for transplantation therapy of a neurological deficit characterized by dopamine deficiency in a local region of the brain.		

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# TRANSPLANTATION OF IMMORTALIZED DOPAMINE CELLS TO IMPROVE NEUROLOGICAL DEFICITS

## CROSS REFERENCE TO RELATED APPLICATIONS

This application claims priority from U.S. Provisional Application No. 60/049,758, filed June 13, 1997, and from U.S. Provisional Application No. 60/049,801, filed June 17, 1997.

## STATEMENT RE FEDERALLY SPONSORED RESEARCH AND DEVELOPMENT

This invention was made, at least in part, with funding from the Public Health Service by Grants Numbers NS29982, NS35348 and NS18639. Accordingly, the United States Government may have certain rights in this invention.

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## BACKGROUND OF THE INVENTION

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The replacement of dopamine (DA) by fetal mesencephalic tissues or DA-cell transplant appears to be a rational choice for the treatment of patients with advanced Parkinson's disease. Fetal mesencephalic tissues have been used extensively in animal models of Parkinson's disease. See, for example, Björklund, A. et al. (1979) *Brain Res.* 177, 555-560; Perlow, M.F. et al. (1979) *Science* 204, 643-647; Bakay, R.A.E. et al. (1985) *Appl. Neurophysiol.* 48, 358-361; Freed, C.R. et al. (1988) in Pharmacology and Functional Regulation of Dopaminergic Neurons, eds. Beart, P.M., Woodruff, G. and Jackson, D.M. (Macmillan Press, London) pp. 353-360; Dunnett, S. et al. (1991) in Intracerebral Transplantation in Movement Disorders, eds. Lindvall, O., Björklund, A. and Widner, H. (Elsevier, Amsterdam) pp 27-et seq; Freed, W.J et al. (1990) *Exper. Neurol.* 110, 139-166; and Kordower, J.H. et al. (1994) in Neural Transplantation, CNS Neuronal Injury and Regeneration, eds. Marwah, J., Titelbaum, H. and Prasad, K.N. (CRC Press, Florida) pp. 17-37. These studies show varying levels of improvement of neurological deficits. The use of such tissues in advanced Parkinson's disease cases also produced variable results as reported in Freed, C.R. et al. (1990) *Arch. Neurol.* 47, 505-512; Lindvall, O. et al, (1992) *Arch. Neurol.* 31, 155-165; Madrazo, I. et al. (1990) *Arch. Neurol.* 47, 1281-1285; and Hitchcock, E. (1992) in Recovery from Brain Damage, eds. Rose, F.D. and Johnson, D.A. (Plenum Press, New York) pp. 67-78. A double-blind study with placebo control to evaluate the efficacy of fetal tissue in the treatment of neurological symptoms in patients with advanced Parkinsonism is in progress. Apart from the issue of efficacy of allogeneic fetal central nervous system (CNS) tissue in neural transplants, the use of fetal tissue is limited by ethical, legal, tissue availability, survivability and some inherent biological problems. The latter includes

the presence of donor-antigen presenting cells which may induce rejection of allogeneic grafted tissues. See Freed, C.R. et al. (1990) *Arch. Neurol.* 47, 505-512; Lindvall, O. et al. (1992) *Arch. Neurol.* 31, 155-165; Madrazo, I. et al. (1990) *Arch. Neurol.* 47, 1281-1285; Hitchcock, E. (1992) in Recovery from Brain Damage, eds. Rose, F.D. and Johnson, D.A. (Plenum Press, New York) pp. 67-78. Another biological problem is heterogeneity within the population of grafted cells. In order to overcome the above difficulties, the establishment of homogeneous populations of DA-producing neurons *in vitro*, free of antigen presenting cells, would be invaluable. Clonal lines of transformed (tumorigenic) DA-producing neurons, such as those described in Augusti-Tocco, G et al. (1969) *Proc. Natl. Acad. Sci. USA* 64, 311-315; Prasad, K.N. et al. (1973) *Nature New Biol.* 241, 117-119; Tumilowicz, J.J. et al. (1970) *Cancer Research* 30, 2110-2118; Schubert, D. et al. (1974) *Nature* 249, 224-227; are available and have been used in neural transplant studies, but such cells produce tumors following transplantation; therefore, they may not be useful until 100% of them are terminally differentiated prior to grafting. See Freed, W.J. et al. (1986) *Exp. Brain Res.* 63, 557-566; and Kordower, J.H. et al. (1987) *Brain Res.* 417, 85-et seq.

Recently, we have reported the production of 100% terminally differentiated murine neuroblastoma (NBP<sub>2</sub>) cells in culture. See Prasad, K.N. et al. (1994) *Restor. Neurol. Neurosci.* 7, 13-19. However, the efficacy of these cells in improving the neurological deficit in the rat model of Parkinson's disease is minimal at 30 days after transplantation. See Adams, F.S. et al. (1996) *Neurochemical Research* 21, 619-627. In an effort to establish another source of DA-producing cells, spontaneously or genetically engineered immortalized cells, which include TH-producing fibroblasts, dopamine-producing SV40 LTagene induced transformed cells at permissive temperature and multipotent neural cells, are now available. See Rosenberg, M.B. et al. (1988) *Science* 242, 1575-1579; Anton, R. et al. (1994) *Exp. Neurology* 127, 207-218; and Ryder, E.F. et al. (1990) *J. Neurobiol.* 21, 365-375. The grafting of these cells in animal models of Parkinson's disease has produced variable improvements in neurological deficits when assayed within 3 months of transplant. Recently, we have established an immortalized clone of rat DA-producing neurons (1RB<sub>3</sub>AN<sub>27</sub>) by transfecting fetal mesencephalon cells with the plasmid vector pSV<sub>neo</sub>, which carries the large T-antigen gene from SV40 virus. See Prasad, K.N. et al. (1994) *In Vitro Cell. Dev. Biol.* 30A, 596-603; Adams, F.S. et al. (1996) *Neuro Chem Res.* 21, 619-627. When these cells or differentiated NBP<sub>2</sub> cells were grafted into the striata of 6-hydroxydopamine (6-OHDA) lesioned rats, the methamphetamine-induced turning rate was reduced in about 50% of transplanted animals at 30 days after transplantation; and there was no evidence of rejection of allogeneic cells nor tumor formation at this time. Because this observation period was considered too short for determination of the efficacy of transplanted cells in improving the neurological deficits in lesioned rats, we extended the period of observation to 6 months. In addition, we compared the relative efficacy of undifferentiated and differentiated 1RB<sub>3</sub>AN<sub>27</sub> cells, at improving the neurological deficits in the rat model of Parkinson's disease.

## SUMMARY OF THE INVENTION

The present invention teaches improvement of neurological deficits in 6-hydroxy-dopamine lesioned rats following transplantation with allogeneic SV40 large T-antigen gene-induced immortalized dopamine cells.

The replacement of dopamine (DA) by DA neuron transplants in the treatment of advanced Parkinson's disease (PD) is a rational approach. Because of limitations associated with fetal tissue transplants, a clone (IRB<sub>3</sub>AN<sub>27</sub>) of SV40-large T antigen (LTa) gene-induced immortalized rat DA neurons and a line of immortalized human DA neurons were utilized in this study. These allogeneic immortalized dopamine neurons, when grafted into striata of normal rats, did not divide, did not form tumors, did not produce LTa, did not extend neurites to host neurons and were not rejected, for as long as 13 months after transplantation. Grafted cells when recultured *in vitro* resumed cell proliferation and LTa production, suggesting the presence of a LTa gene-inhibiting factor in the brain. The grafting of undifferentiated and differentiated IRB<sub>3</sub>AN<sub>27</sub> cells or differentiated murine neuroblastoma (NBP<sub>2</sub>) cells into striata of 6-hydroxydopamine (6-OHDA)-lesioned rats (animal model of PD) caused a time-dependent improvement in neurological deficits (reduction in the methamphetamine-induced turning rate). At 3 months after transplantation, 100% of the animals receiving differentiated IRB<sub>3</sub>AN<sub>27</sub> cells, 63% of the animals receiving undifferentiated IRB<sub>3</sub>AN<sub>27</sub> cells, 56% of the animals receiving differentiated NBP<sub>2</sub> cells and 0% of the sham-transplanted animals showed improvements in neurological deficits. At 6 months after transplantation, there was a progressive increase in spontaneous recovery in sham-transplanted animals. These results suggest that immortalized DA neurons may hold potential for their use in transplant therapy in advanced PD patients.

It is an object of the present invention to describe a novel human cell line of immortalized neural cells capable of synthesizing dopamine.

It is a further object of the invention to provide a line of non-tumorigenic, non-immunogenic immortalized human brain cells capable of producing dopamine.

It is a further object of the invention to provide a method of making an immortalized human cell line containing cells capable of producing dopamine, where the cells are non-tumorigenic and non-immunogenic.

It is a further object of the invention to provide a method for treating a neurological deficit characterized at least in part by a localized deficiency of dopamine which method includes transplanting immortalized, non-tumorigenic, non-immunogenic human dopamine-producing neural cells at a locus near the region of dopamine deficiency.

It is a still further object of the present invention to teach an improved method of treatment by transplanting differentiated dopamine-producing cells in comparison to transplanting undifferentiated cells.

These objects and others which will become obvious to the skilled artisan are deemed to fall within the spirit and scope of the present invention and are intended be included herein.

## DESCRIPTION OF THE DRAWINGS

Figure 1. Reduction of neurological deficit in animals receiving differentiated  $1RB_3AN_{27}$  cells. Methamphetamine-induced turning as measured in 6-OHDA lesioned animals as a function of time after grafting of 200,000 differentiated  $1RB_3AN_{27}$  cells into the striatum. The RPM value of treated animals at each time period was expressed as % of pretransplant RPM value. The value at each point represents an average of 8-10 samples  $\pm$  SEM. RPMI Control vs differentiated  $1RB_3AN_{27}$  cells,  $p < 0.05$ .

Figure 2. Reduction of neurological deficit in animals receiving undifferentiated  $1RB_3AN_{27}$  cells. Methamphetamine-induced turning as measured in 6-OHDA lesioned animals as a function of time after grafting of 200,000 undifferentiated  $1RB_3AN_{27}$  cells into the striatum. The RPM value of treated animals at each time period was expressed as % of pretransplant RPM value. The value at each point represents as average of 8-10 samples  $\pm$  SEM. RPMI Control vs undifferentiated  $1RB_3AN_{27}$  cells,  $p < 0.05$ .

Figure 3. Reduction of neurological deficit in animals receiving differentiated  $NBP_2$  cells. Methamphetamine-induced turning as measured in 6-OHDA lesioned animals as a function of time after grafting of 200,000 differentiated  $NBP_2$  cells into the striatum. The RPM value of treated animals at each time period was expressed as % of pretransplant RPM value. The value at each point represents as average of 8-10 samples  $\pm$  SEM. RPMI Control vs  $NBP_2$  cells,  $p < 0.05$ .

## DETAILED DESCRIPTION OF THE INVENTION

In general the terms and phrases used herein have their art-recognized meaning, which can be found by reference to standard texts, journal references and contexts known to those skilled in the art. The following definitions are provided to clarify their specific use in the context of the invention.

A cell line is a population or mixture of cells of common origin growing together after several passages *in vitro*. By growing together in the same medium and culture conditions, the cells of the cell line share the characteristics of generally similar growth rates, temperature, gas phase, nutritional and surface requirements. The presence of cells in the cell line expressing certain substances, for example tyrosine hydroxylase (TH) can be ascertained, provided a sufficient proportion, if not all, of cells in the line are present to produce a measurable quantity of the substance. An enriched cell line is one in which cells having a certain trait, e.g. expressing TH, are present in greater proportion after one or more subculture steps, than the original cell line.

Clonal cells are those which are descended from a single cell. As a practical matter, it is difficult to obtain pure cloned cell cultures of mammalian cells. A high degree of cell purity can be obtained by successive rounds of cell enrichment. As used herein, a cell culture in which at least 90% of the cells possess a defined set of traits is termed a cloned cell culture.

Adult nerve cells divide, if ever, only rarely *in vivo* and cannot be cultured *in vitro*. In order to establish a cell line of neural origin, the cells must be altered to allow them to replicate and be maintained indefinitely in *in vitro* cultures over a period of years. Cells so altered are termed "immortalized." Various methods to immortalize mammalian cells have been reported, with varying success, depending on the tissue of origin. The changes resulting in immortalization are akin to those which occur in cancer, rendering the cells capable of tumor formation. Certain viruses, notably SV-40 and polyoma virus, are known to transform infected rodent cells to render them capable of forming tumors (tumorigenic). The ability of SV-40 and polyoma virus to cause tumor transformation is conferred by a viral gene encoding a protein known as the "large T-antigen" (LTa). The genes encoding the respective LTa's of SV-40 and polyoma have been cloned and transferred into plasmid vectors such that an LTa gene can be introduced into host cells without subjecting them to virus infection. In certain instances, depending on parental host cell type, cells can be immortalized by transfection with a LTa of SV-40 or polyoma virus. In the present invention, cells of rat fetal mesencephalon were immortalized by transfection with SV-40 LTa. Surprisingly, the same method did not succeed in immortalizing human fetal mesencephalic arch cells. However, the human cells could be successfully immortalized by transfection with a gene encoding polyoma virus LTa.

A "gene encoding polyoma (or SV-40) LTa" as used herein is intended to encompass any nucleotide sequence which encodes a protein having the function of polyoma (or SV-40) LTa and which is capable of being expressed in the host cell in a quantity measurable by a known assay for LTa, such as immunochemical staining.

"Tumorigenic" means the ability to cause tumors. It is often the case that immortalized cells are tumorigenic when transplanted into a host animal. The immortalized cells of the present invention have the unexpected property that they are not tumorigenic. In fact, they fail to divide when transplanted into host brain, although they remain viable, can be recovered from the host animal and can begin dividing again, *in vitro*. These properties render the cell lines and cell cultures of the invention especially useful for transplantation therapy.

"Immunogenic" means the ability of cells to elicit an immune rejection response when transplanted into a host animal. The data presented herein demonstrate that the cell lines and cloned cells of the invention are not immunogenic. Being non-immunogenic is another property of the cells lines and cloned cells of the invention that renders them especially suited for transformation therapy.

A selectable marker is a genetic determinant which makes it possible to provide culture conditions which favor the growth of cells possessing the marker, compared to cells which do not. An antibiotic resistance gene is an example of a selectable marker.

5       The cells of the invention are derived from fetal tissue at a stage of embryonic development before the cells have taken on morphological and functional characteristics of adult cells found in different parts of the brain. The cells are relatively undifferentiated, although they are apparently partially differentiated to the extent that some cells of the fetal mesencephalon can synthesize dopamine while others cannot. The cells of the invention can be induced to differentiate *in vitro* by putting dibutyryl adenosine 3',5' cyclic monophosphate (dbcAMP) in the growth medium, as measured by morphological changes in the cells so treated, in culture.  
10       Cells treated as described are termed "differentiated" herein.

15       The transplanting of cultured cells of the invention into the brain of an animal, including a human, is a relatively simple process. A tube of appropriate diameter is inserted to the desired region of the brain, e.g. the striata, and a suspension of cells in a physiologically acceptable carrier is allowed to flow through the tube into the brain at a controlled rate, to the desired location. A physiologically acceptable carrier can be any sort of solution having non-toxic, non-injurious components for either the cells or the tissue at the site of transplant. Typically, a physiologically acceptable carrier will include a solution of salts compatible with live cells and tissue having pH, osmolarity and chemical composition ranges known in the art, for example, Hank's buffer.  
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25       The cell lines and cloned cells of the invention are useful for treating a neurological deficit characterized by dopamine deficiency in a specific location in the brain. A deficiency of dopamine in a certain defined area of the brain, the basal ganglia or striatum, results in symptoms typical of Parkinson's Disease. By transplanting dopamine-producing cells of the invention at the locus of the deficiency, the symptoms can be alleviated.

30       In the treatment of a neurological deficit by transplantation, there are limitations associated with the direct transplantation of tissue, from adult or fetal sources. Availability of tissue is a source limitation. Tissue samples present a heterogeneous cell population, as well as the risk of virus contamination. Ethical and legal issues in some countries limit the use of fetal tissue. Fetal tissue can also contain antigen-producing cells that are responsible for the rejection of allogenic grafts. The availability, through the present invention, of immortalized human neurons of specific phenotype which are non-tumorigenic and non-immunogenic resolves the above limitations. Provided herein are immortalized dopamine (DA)-producing human neurons in culture, which are non-tumorigenic and non-immunogenic. The human neurons have a gene encoding polyoma virus LTa, which confers on them the ability to grow indefinitely in culture (immortalized). The cells express tyrosine hydroxylase, a neural-specific enzyme which catalyzes a rate-limiting step in the conversion of tyrosine to dopamine, and dopamine transporter protein, which binds and transports free dopamine in the synaptic spaces. Both the human cells and rat cells were non-tumorigenic, as measured by transplantation in athymic  
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mice or into striata of normal rat brain for at least 13 months after transplantation. Transplanted cells cease to express the LTa gene after transplantation and do not reveal mitotic figures in stained sections of the grafted area. However, the cells remain viable in the transplantation host, as shown by their ability to again grow when re-cultured *in vitro*. The cells were shown to be non-immunogenic in the transplantation host, as demonstrated by failure to detect any inflammatory response, such as leukocyte infiltration, at the site of transplantation.

The method of making a human cell line capable of expressing tyrosine hydroxylase, and therefore of synthesizing dopamine, begins with the step of isolating a suspension of human fetal brain cells. The embryonic developmental stage of the source tissue is chosen such that the tissue should be sufficiently developed that precursors to the dopaminergic cells of the adult can be identified and sufficiently undeveloped such that the cells are capable of dividing, do not express tissue-specific antigen or become refractory to immortalization. The tissue source exemplified herein for the human cell line was mesencephalic arch tissue from a stage 16 embryo, (0.7 cm, limb bud band 1.1 mm wide).

The fetal cells are immortalized by transfection with a gene encoding a viral LTa. The LTa of SV-40 was effective for immortalizing rat cells; however, the human cells required polyoma LTa. Transfection is preferably accomplished by using an engineered vector, such as a plasmid, having the viral LTa gene but lacking other viral genes. Preferably the vector also carries a selectable marker gene. The plasmids pSV3<sub>neo</sub> and pSV5<sub>neo</sub>, available from American Type Culture Collection, Rockville, MD, carrying the LTa gene of SV-40 a polyoma, respectively, were employed.

A general strategy for isolating a cell line of immortalized, dopamine-producing cells has been developed. Transfection can be carried out by a variety of art-recognized methods, including electroporation, liposome-mediated transformation, cationic lipid binding and the like. A variety of vectors can be employed, the primary goal being to achieve stable transformation in a portion of fetal neuron cells so that they contain a gene encoding LTa and a selectable marker gene.

The advantage of selectable marker is to select transformed cells from untransformed, dividing cells. A typical selectable marker is neomycin resistance, which renders transformed cells resistant to the neomycin family of antibiotics such as geneticin. In practice, more than 90% of cells subject to the transformation process are removed during subsequent culture in the presence of geneticin. A variety of selection systems has been reported in the literature. The choice of selection method is open to those skilled in the art, based on well-known principles and factors of practicality.

A cell line including immortalized dopamine-producing cells can be further enriched to increase the proportion of DA-producing cells. The familiar technique of single-cell cloning proved ineffective for enriching a rat cell line apparently due to strong adherence between glial cells and neural cells. In order to selectively enhance neural cell growth, a strategy was adopted for alternatively culturing the cells in different

media, at least one of which selectively favor neural cell growth compared to non-neural cells. The enrichment conditions have been defined for obtaining clonal cell lines of rat dopamine producing cells - the IRB<sub>3</sub>AN<sub>27</sub> line. Similar conditions can be optimized for enrichment of human DA-producing cells.

5 Maintenance of the immortalized cells in culture is accomplished as a matter of optimization. Different cell lines may require different media for maintenance. Rat cells were preferably maintained on RPMI medium, however, human cells were preferably maintained on MCDB-153 medium. Other maintenance media can prove optimal under other circumstances, as will be understood as a matter of optimization by those skilled in the art.

10 Treatment of a neurological deficit responsive to dopamine, notably Parkinson's Disease, is accomplished by transplanting cells according to the invention at or near the site of the deficit, e.g. the striatum. It is preferred to transplant differentiated cells of the invention, i.e., cells treated *in vitro* to induce differentiation. As noted, transplantation is simply a matter of introducing cells, suspended in a physiologically acceptable carrier at the site of the deficit, using a tube of suitable diameter. The number of cells introduced can vary, depending on the severity of the symptoms, the size of the site of deficit, and the amount of dopamine produced by the cells. Effective cell dosage for transplantation into human brain ranges from 10<sup>5</sup> - 10<sup>8</sup> cells per dose, preferably from 10<sup>6</sup> - 10<sup>7</sup> cells per dose.

20 The etiology of Parkinson's Disease is well-understood. The disease results from the loss or destruction of dopamine-producing cells in the substantia nigra. A variety of agents are known to cause loss of DA-producing cells, including chemical compounds. Therefore the symptoms of Parkinsonism can be duplicated in experimental animals, and the results of treatment are predictive of results in humans. In the studies described herein, rats treated with 6-hydroxy-dopamine (6-OHDA) develop typical muscular immobility associated with Parkinson's Disease. Treatment efficacy can be evaluated by measuring the rate of turning induced by methamphetamine, in rats having a 6-OHDA-induced lesion (neurological deficit) on one side only. The animal's muscular movements are slowed on the side affected by the lesion compared to the unaffected side. The resulting asymmetric mobility can be measured as a rate of turning after animals are given the drug methamphetamine. Effective treatment therefore reduces the turning rate compared to a baseline rate for untreated animals. The turning rate assay has been shown to be an effective predictor of efficacy in humans. Similar studies can also be carried out in primates. Primate studies are especially helpful in evaluating an effective dose range and for evaluating how long the treatment is effective.

35 A currently used treatment for Parkinson's Disease is the administration of L-DOPA. However, efficacy of treatment diminishes over time due to the fact that at effective dosage, neurotoxic metabolites of L-DOPA, including free radicals, are formed. After a few years, the treatment can leave the patient in a worse condition than if left untreated. Other treatments, such as fetal mesencephalic tissue transplantation, have been shown to be effective, but for a limited time. Therefore transplantation of immortalized dopamine-producing

amount of dopamine produced by such cells need not be great. Indeed, overproduction of DA is undesirable as it can lead to formation of toxic metabolites. As long as the cells produce a detectable amount of DA, they can be suitable for transplantation. Other criteria, as noted, are non-tumorigenicity and non- or low-immunogenicity.

With regard to the rat cells, data described herein revealed the following features:

(a) Grafting of allogeneic 1RB<sub>3</sub>AN<sub>27</sub> cells into striata of normal rats revealed no evidence of cell proliferation, LTA production, tumor formation, rejection or axonal innervation at 1, 4, 8 and 13 months after transplantation;

(b) Grafted allogeneic 1RB<sub>3</sub>AN<sub>27</sub> cells, when recultured *in vitro*, resumed cell proliferation and LTA production; and

(c) Grafting of differentiated 1RB<sub>3</sub>AN<sub>27</sub> cells was most effective in comparison to grafting of undifferentiated 1RB<sub>3</sub>AN<sub>27</sub> cells or differentiated murine NBP<sub>2</sub> cells in reducing amphetamine-induced turning rate in 6-OHDA lesioned rats at 3 and 6 months after grafting.

Cell Culture:

The clonal line of immortalized DA-producing neurons 1RB<sub>3</sub>AN<sub>27</sub>, which has been established in our laboratory, was used. These cells are described in Prasad, K.N. et al. (1994) *In Vitro Cell. Dev. Biol.* 30A, 596-603. Cells were grown in RPMI medium containing 10% fetal bovine serum, penicillin (100 units/ml) and streptomycin (100 µg/ml), and were maintained at 37°C in a humidified atmosphere of 5% CO<sub>2</sub>. Mycoplasma free cultures were used for all experiments. The doubling time of 1RB<sub>3</sub>AN<sub>27</sub> cells was about 26 hours.

A murine neuroblastoma clone (NBP<sub>2</sub>), which was developed in our laboratory, was also used in this study. These NBP<sub>2</sub> cells are described in Prasad, K.N. et al. (1973) *Nature New Biol.* 241, 117-119. (14) Cells were grown in F12 medium containing 10% gammaglobulin free newborn bovine serum, penicillin (100 units/ml) and streptomycin (100 µg/ml), and were maintained at 37°C in a humidified atmosphere of 5% CO<sub>2</sub>. The doubling time of NBP<sub>2</sub> cells was about 18 hours.

Differentiating Agents:

1RB<sub>3</sub>AN<sub>27</sub> cells (0.5x10<sup>6</sup>) were plated on tissue culture dishes (100 mm) which were precoated with specialized substrate for 4 hrs. Specialized substrate is described in Lechner, J.G. et al. (1985) *J. Tissue Cult. Methods* 9, 43-48. Dibutyryl adenosine 3',5'-cyclic monophosphate (dbcAMP) at 2.0 mM induces morphological and biochemical differentiation in some cells as reported in Adams, F.S. et al. (1996) *Neurochemical Research* 21, 619-627. However the addition of dehydroepiandrosterone (DHEA) at 60 µg/ml were observed to enhance the level of morphological differentiation in these cells 3 days after plating. Therefore these agents were used in combination to induce optimal differentiation in 1RB<sub>3</sub>AN<sub>27</sub> cells. Murine neuroblastoma (NBP<sub>2</sub>) cells (0.5x10<sup>6</sup>) were plated on regular tissue culture dishes (100 mm). 4-(3-butoxy-4-methoxy-benzyl)imidazolidin-2-one (R020-1724, 200 µg/ml), an inhibitor of cyclic nucleotide

phosphodiesterase, plus a polar carotenoid, originally referred to as  $\beta$ -carotene (20  $\mu\text{g/ml}$ ), are known to induce terminal differentiation in NBP<sub>2</sub> cells; therefore, they were used in this study. See Prasad, K.N. et al. (1994) *Restor. Neurol. Neurosci.* 7, 13-19. Differentiating agents were added 24 hr after plating, and growth medium and agents were changed at two days after treatment. Various biological assays including transplantation were performed at 3 days after treatment when differentiated functions were maximally expressed.

Assay of Tyrosine Hydroxylase and Dopamine Transporter Protein mRNA: 1RB<sub>3</sub>AN<sub>27</sub> cells (0.5x10<sup>6</sup>) were collected in a microcentrifuge tube. The cells were centrifuged and the supernatant was removed. An Oligotex<sup>TM</sup> Direct mRNA Isolation kit (Qiagen, Chatsworth, CA) was used to isolate total RNA from the cells. The RNA was quantified using a spectrophotometer to ensure that equal amounts of RNA were loaded into each reverse transcriptase polymerase chain reaction (RT-PCR) mixture. The isolated RNA was then stored at -20° C until it was needed.

The forward primer for tyrosine hydroxylase (TH) was GGGGAGCTGAAGGCTTATGG (1161-1268) (SEQ ID NO:1) with the reverse for TH being GGACACAAAGTACACAGGCT (1316-1297) (SEQ ID NO:2). The forward primer for the dopamine transporter protein (DAT) was TATGGCACAGAAGCACAAT-GTGCCCA (1169-1194) (SEQ ID NO:3) with the reverse for DAT being AGCCAGGACAATG-CCAAGAGTGAAGA (1424-1399) (SEQ ID NO:4). The TH primers have previously been described in Melia, K.R. et al. (1994) *Exper. Neurol.* 130, 394-406. The reactions were carried out in a Perkin-Elmer GeneAmp PCR System 9600. The reverse transcriptase reaction synthesized cDNA from 1  $\mu\text{g}$  total RNA using 2.5  $\mu\text{M}$  of random hexomers, 50 U of MuLV reverse transcriptase, 20 U of RNAase inhibitor, 1 mM each of dGTP, dATP, dTTP, and dCTP, 5 mM MgCl<sub>2</sub>, and 1X PCR Buffer II in a total volume of 20  $\mu\text{l}$  (All reagents were from Perkin-Elmer). The mixture was incubated at room temperature for 10 min. It was then placed in the thermocycler which was set at 42° C for 15 min., 99° C for 5 min., and 5° C for 5 min. After the RT reaction, the mixture was removed from the thermocycler, and 4  $\mu\text{l}$  of 25 mM MgCl<sub>2</sub>, 8  $\mu\text{l}$  10X PCR Buffer II, 65.5  $\mu\text{l}$  of H<sub>2</sub>O, 0.5  $\mu\text{l}$  of 5 U/ $\mu\text{l}$  AmpliTaq polymerase, and 1  $\mu\text{l}$  each 0.4 mM of the forward and reverse primers were added for a total volume of 100  $\mu\text{l}$ . A trace amount of [ $\alpha$ -<sup>32</sup>P]dCTP (1.5  $\mu\text{Ci}$ ) was added to the reaction. The mixture was then returned to the thermocycler. After 2 min. of denaturing at 96° C, the mixture was subjected to 30 to 35 cycles with the following settings: 96° C for 40 sec., 58° C for 40 sec, and 72° C for 60 sec. Once the cycles were completed, the mixture was held at 4° C. The annealing temperature was modified for the dopamine transporter fragments from 58° C to 62° C. All samples that were not immediately used were stored at -20° C. One tenth of each PCR product was subjected to electrophoresis using a 2% agarose gel and the dried gel was exposed to X-ray film (Amersham Life Sciences, Arlington Heights, IL) overnight.

Viability of Grafted Cells: The viability of grafted cells was determined by histologic sections, and by their ability to grow *in vitro*. The procedures for growing grafted cells *in vitro* were described in Adams, F.S. et al. (1996) *Neurochemical Research* 21, 619-627.

5 Evidence of Formation of Neurites by Grafted Cells: This was primarily determined by Bodian staining. The Bodian stain was done in order to visualize nerve fibers and nerve endings. Briefly this involves deparaffinizing the slides; soaking at 37°C in 1% Protargol (Roboz Surgical Instruments Company, Washington D.C.) solution for 72 hours, rinsing in water, soaking in reducing solution (hydroquinone 10 g/L and formalin 2%), rinsing in water, toneing in 1% gold chloride, rinsing in water, developing in 2% oxalic acid solution, rinsing in water, treating with 5% sodium thiosulfate, rinsing with water and counterstaining with aniline blue (aniline blue 0.33 g/L, oxalic acid 6.6 g/L and phosphomolybdic acid 6.6 g/L).

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Tumorigenicity and Immunogenicity of Grafted Cells: In order to determine the possibility of tumor formation in non-lesioned rats receiving transplants of undifferentiated IRB<sub>3</sub>AN<sub>27</sub> cells, gross and histological examinations were performed. Rats which had received two IRB<sub>3</sub>AN<sub>27</sub> transplant tracks were sacrificed at 1, 4, 8 and 13 months post-transplantation, perfused with 4% paraformaldehyde, brains removed, embedded in paraffin and then cross sectioned at 10 microns. Paraffin sections of grafted brain were stained with the Harris' hematoxylin / eosin (H&E) procedure. Briefly, this involves deparaffinizing the sections, staining with Harris' Hematoxylin (5 g/L hematoxylin, 5% ethanol, 100 g/L ammonium alum, 2.5 g/L mercuric oxide), washing with H<sub>2</sub>O, soaking in ethanol, washing in water, blueing with Scott's blueing agent (Sigma), washing with water then ethanol, counterstaining with eosin-phloxine solution (eosin Y 1 g/L, phloxine 0.01 g/L, 75% ethanol, 0.4% glacial acetic acid), dehydrating by ethanol and xylene and mounting with resinous medium. The presence of inflammatory cells at the site of transplantation was considered as evidence of rejection.

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25 Unilateral 6-OHDA Lesion: Male Sprague Dawley rats (250-350 grams, Sasco) were handled and housed in accordance with University guidelines for animal care. They were anesthetized with equithesin (3.0-4.0 ml/kg supplemented as necessary) and fixed in a stereotaxic frame. A 28 gauge cannula was lowered to the medial forebrain bundle at two sets of coordinates (from bregma: L 1.5 mm; P -4.3 mm; V 7.5 mm and L 2.0 mm; P -2.3 mm; V 7.8 mm; according to Paxinos & Watson (27)). Five µL of 6-OHDA Br (2 mg/ml in saline containing 0.1% ascorbic acid) was infused at 1 µL/min. Afterwards the cannula was left in place for two minutes prior to slow withdrawal.

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Transplantation: Differentiated and undifferentiated IRB<sub>3</sub>AN<sub>27</sub> cells and differentiated NBP<sub>2</sub> were suspended in RPMI at a concentration of 50,000 cells/µL, and kept on ice until implantation, which was completed within 5 hours. Animals were anesthetized with equithesin (4.0 ml/kg supplement as necessary), and fixed in a stereotaxic frame that was equipped with a motorized arm (Narishiga, Tokyo). A hole was drilled through the skull (coordinates from bregma: L 2.5 mm; P 0.0 mm) and a 20 gauge stainless-steel guide

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cannula was lowered 3.5 mm below the dura. The infusion cannula (26 gauge extended 4 mm beyond the end of the guide and was attached to a 25  $\mu$ L gas-tight syringe (Hamilton) with plastic tubing. Prior to insertion, 4  $\mu$ L of cell suspension (200,000 cells) were loaded into the infusion cannula and the syringe placed in a syringe pump. The infusion was made at 1.0  $\mu$ L/min while the cannula was withdrawn at 1.0 mm/min. After four minutes, the injection was stopped and the cannula allowed to remain in place for two minutes before withdrawal. Sham-transplanted control animals underwent the same procedure except that they received only RPMI medium.

Neurological Deficits as Measured by Methamphetamine-Induced Turning Rate:

Turning rate

in response to methamphetamine HCl (5.0 mg/kg i.p. weight of the salt) was quantified in a flat bottomed rotometer to assess the efficacy of the lesion produced by 6-OHDA. Briefly, the rotometer consisted of a plexiglass cylinder, 20 cm in diameter. The rat was tethered to a counter with a rubber band that was fastened around its chest. Full turns, ipsi- and contralateral to the side of lesion were counted in ten minute blocks for 120 minutes. Rats which showed a rate of contraversive turning  $\geq 3.0$  rpm during the period 30-120 minutes after injection of methamphetamine were used for transplant studies. Previous studies have shown that this rate of turning indicates a neurological deficit where a unilateral DA depletion of more than 95% has occurred. See Richards, J.B. et al. (1990) *Pharmacol. Biochem. Behav.* 36, 217-223.

Apomorphine was used to evaluate the level of postsynaptic dopamine receptors in 6-OHDA lesioned rats. This is in contrast to methamphetamine which measures evocable dopamine. The rate of turning caused by apomorphine (0.05 mg/kg, s.c.) was measured for 30 minutes after injection in rats prior to transplant and at 1-6 months post-transplant. However, apomorphine induced turning rate was not used as criteria for rats receiving transplants.

Statistical Analysis:

A randomized analysis appropriate for repeated measures data using a Petrondas-Gabriel-type multiple comparisons procedure was used. See Zerbe, Gary O. (1979). *Journal of the American Statistical Association*, 74, 215-221 and Zerbe, Gary O. et al. (1986) *Biometrics*, 42: 795-804. The method involves linearly interpolating between the means of the observed rpm measurements for each group and making comparisons of these interpolated curves for various time intervals.

Induction of Morphological Differentiation in IRB, AN<sub>7</sub>, and NBP<sub>7</sub> cells:

Dibutyryl cAMP treatment of cells plated on precoated dishes was more effective in inducing morphological differentiation than those plated on regular dishes. The dibutyryl cAMP concentration of 2 mM was toxic to cells plated on regular plastic dishes (data not shown). However, it induced morphological differentiation in cells plated on precoated dishes as evidenced by the formation of neurites, but the level of morphological differentiation varied from one area of the dish to another. One area showed a high level of differentiation, whereas another area revealed a lower level of differentiation. The addition of DHEA enhanced the dibutyryl cAMP-induced level of morphological differentiation, whereas DHEA by itself was ineffective (data not

shown). The extent of enhancement varied from one area of the dish to another, showing areas with the highest and areas with the lowest degrees of differentiation. It should be noted that most of the cells which were treated with dbcAMP plus DHEA had become larger and rounder. The solvent treatment did not alter the morphology or the growth of cells in comparison to untreated controls.

The level of differentiation in NBP<sub>2</sub> cells treated with RO20H-1724 plus polar carotenoid was similar to that reported in Prasad, K.N. et al. (1994) *Restor. Neurol. Neurosci.* 7, 13-19.

Level of TH and DAT mRNAs: TH mRNA was not detected in undifferentiated IRB<sub>3</sub>AN<sub>27</sub> cells using RT-PCR. TH activity has been demonstrated by biochemical assay in these cells, as reported in Adams, F.S. et al. (1996) *supra*. However, DAT mRNA was readily detectable in undifferentiated IRB<sub>3</sub>AN<sub>27</sub> cells using RT-PCR. Differentiation caused the appearance of TH mRNA in IRB<sub>3</sub>AN<sub>27</sub> cells and an increase of DAT mRNA in IRB<sub>3</sub>AN<sub>27</sub> cells.

Viability, Immunogenicity and Innervation of Grafted cells: The viability of grafted undifferentiated and differentiated IRB<sub>3</sub>AN<sub>27</sub> cells at 8 months posttransplant was good as evidence by histological sections, as well as by their capacity to grow in culture. There also was no evidence of leukocytic infiltration of the grafted areas. However, there was no evidence of axonal innervation by DA-cells because the growth of nerve fibers occurred only along the transplant track as seen by Bodian stain. The viability of differentiated NBP<sub>2</sub> cells was poor, as shown by the fact that there were only a few cells visible in H & E staining (data not shown).

Tumorigenicity of Grafted Cells: There was no evidence of cell proliferation or tumor formation in 6-OHDA lesioned animals receiving undifferentiated or differentiated IRB<sub>3</sub>AN<sub>27</sub> cells, or differentiated NBP<sub>2</sub> cells at 6 months posttransplant (not shown). There also was no evidence of tumor formation in naive animals at 8 months posttransplant and at 13 months posttransplant.

Efficacy of Grafted Cells in Reducing Methamphetamine-Induced Turning Rate in 6-OHDA Lesioned Rats: Transplantation of differentiated IRB<sub>3</sub>AN<sub>27</sub> cells into striata of 6-OHDA lesioned rats produced a reduction in methamphetamine induced turning rate among 100% of transplanted animals at 3 months after grafting when none of the sham-transplanted animals showed a significant change. Grafting of undifferentiated IRB<sub>3</sub>AN<sub>27</sub> cells and differentiated NBP<sub>2</sub> improved the neurological deficit in 63% and 56% of 6-OHDA lesioned rats, respectively. Animals receiving differentiated IRB<sub>3</sub>AN<sub>27</sub> cells continued to show improvement in neurological deficits in 100% of transplanted animals, at 4, 5 and 6 months after grafting. However, in sham-transplanted groups, a progressive spontaneous recovery was observed at 6 months after grafting.

At 3 months after transplantation, the extent of reduction of methamphetamine-induced turning rate among the 6-OHDA lesioned rats, which showed improvement in neurological deficits, was similar in animals receiving differentiated (Fig. 1) or undifferentiated 1RB<sub>3</sub>AN<sub>27</sub> cells (Fig. 2). However, the extent of reduction of turning rate was lower in animals receiving grafts of differentiated NBP<sub>2</sub> cells (Fig. 3). The sham-transplanted animals exhibited increased turning during the first 3 months posttransplant. At 6 months after transplantation, the extent of reduction in drug-induced turning rate was most pronounced in 6-OHDA lesioned animals receiving grafts of differentiated 1RB<sub>3</sub>AN<sub>27</sub> cells. The grafting of undifferentiated 1RB<sub>3</sub>AN<sub>27</sub> cells produced greater improvement in neurological deficits than in differentiated NBP<sub>2</sub> cells or sham transplanted animals.

The apomorphine-induced turning rate was not altered by grafted differentiated 1RB<sub>3</sub>AN<sub>27</sub> cells, undifferentiated 1RB<sub>3</sub>AN<sub>27</sub> cells or differentiated NBP<sub>2</sub> cells in 6-OHDA lesioned rats (data not shown).

Preparation of human DA-producing cell line: A single cell suspension in Hank's solution of human fetal brain (0.7 cm, stage 16, limb bud hand 1.1 mm wide) mesencephalic arch was provided by Anatomic Gift Foundation, 13942 Baltimore Ave., Laurel, Maryland 20707. Transfection with plasmid vectors pSV3<sub>neo</sub> or pSV5<sub>neo</sub> was carried out by electroporation as described for rat cells. After transfection, cells were plated in uncoated dishes containing MCDB153 medium with 10% fetal bovine serum, penicillin (100 units/ml) and streptomycin (100 µg/ml). Only cells transected by pSV5<sub>neo</sub> (polyoma virus LTa gene) were immortalized. At 17 days post-transfection, geneticin (200 µg/ml) was added to the culture (designated HC4M5P1) for 10 days. During this period, there was substantial cell death (mostly non-transformed cells). Survivors were allowed to grow for many passages, with samples stored frozen from passages P3 - P11. For optimal growth the addition of estrogen (1 µM, insulin (10 µg/ml) and basic fibroblast growth factor (15 ng/ml) was required.

Immortalized human cells were tested by transplantation into the striata of naive rats. No tumor formation or evidence of rejection was detected 30 days post transplantation, using tests previously described for rat cells.

This study demonstrates that grafting of LTa gene-induced immortalized DA-producing neurons into striatum improves the neurological deficits in the rat model of Parkinsonism. The grafting of differentiated 1RB<sub>3</sub>AN<sub>27</sub> cells was more effective than the grafting of undifferentiated 1RB<sub>3</sub>AN<sub>27</sub> or differentiated NBP<sub>2</sub> cells in improving neurological deficits in 6-OHDA lesioned rats at 3 months and 6 months after transplantation. This may be due to the fact that differentiated 1RB<sub>3</sub>AN<sub>27</sub> cells exhibit increased levels of TH and DAT. The efficacy of undifferentiated 1RB<sub>3</sub>AN<sub>27</sub> and differentiated NBP<sub>2</sub> cells on the above criteria was similar. Previous studies have shown that the transplantation of a embryonic day 15 mesencephalon (~250,000 total cells) into 6-OHDA lesioned rats causes improvements in neurological deficits in >80% of the animals at 6 weeks posttransplant. See Brundin, P. et al. (1988) *Developmental Brain Research* 39 233-243. This value is comparable to that obtained after grafting of 200,000 differentiated 1RB<sub>3</sub>AN<sub>27</sub> cells. Nevertheless, the extent



of improvement in neurological deficits as measured by the reduction in methamphetamine-induced turning rate was much better in animals receiving tissue transplants than those receiving differentiated IRB<sub>3</sub>AN<sub>27</sub> cell transplants. It is not known whether the grafting of increased number of differentiated or undifferentiated IRB<sub>3</sub>AN<sub>27</sub> cells would proportionally increase the extent of improvement in neurological deficits in 6-OHDA lesioned rats. Most of the previous studies utilizing tissues or established cell lines have limited their observation period up to 3 months after transplantation. See Björklund, A. et al. (1979) *Brain Res.* 177, 555-560; Perlow, M.F. et al. (1979) *Science* 204, 643-647; Bakay, R.A.E. et al. (1985) *Appl. Neurophysiol* 48, 358-361; Freed, C.R. et al. (1988) in Pharmacology and Functional Regulation of Dopaminergic Neurons, eds. Beart, P.M., Woodruff, G. and Jackson, D.M. (Macmillan Press, London) pp. 353-360; Dunnett, S. et al. (1991) in Intracerebral Transplantation in Movement Disorders, eds. Lindvall, O., Björklund, A. and Widner, H. (Elsevier, Amsterdam) pp 27-et seq.; Freed, W.J et al. (1990) *Exper. Neurol.* 110, 139-166; Kordower, J.H. et al. (1994) in Neural Transplantation, CNS Neuronal Injury and Regeneration, eds. Marwah, J., Titelbaum, H. and Prasad, K.N. (CRC Press, Florida) pp. 17-37; Freed, W.J. et al. (1986) *Exp. Brain Res.* 63, 557-566; and Kordower, J.H. et al. (1987) *Brain Res.* 417, 85-et seq. Our present study shows that the efficacy of grafted IRB<sub>3</sub>AN<sub>27</sub> cells in improving neurological deficits in 6-OHDA lesioned rats persists at least 6 months after transplantation. It should be noted that at 6 months after transplantation, there was a tendency of spontaneous recovery among sham-transplanted groups.

The grafted IRB<sub>3</sub>AN<sub>27</sub> cells were viable for the entire period of observation, since they can be re-cultured *in vitro* and their presence can be demonstrated along the transplantation track in H & E sections. In contrast, only a few differentiated murine NBP<sub>2</sub> cells were detectable in H & E sections.

Our present study shows that the rate of turning induced by apomorphine (post-synaptic DA stimulation) was not reduced in 6-OHDA lesioned rats receiving cell transplants. This observation is consistent with the histological observation using Bodian staining that the grafted undifferentiated or differentiated IRB<sub>3</sub>AN<sub>27</sub> cells do not form synapses or neuronal connections. It appears that dopamine released into striatum by diffusion from IRB<sub>3</sub>AN<sub>27</sub> cells is sufficient to improve the neurological deficits in 6-OHDA lesioned rats. This is analogous to improvement seen in patients with Parkinsonism after Levodopa therapy which provides needed dopamine to the striatum.

One of the major concerns in the transplantation community is that the use of oncogene-induced immortalized cells may not be practical. This is because of the potential danger of malignant transformation of either grafted cells themselves or host cells which may incorporate the oncogene following lysis of grafted cells. Our present observation shows that grafted SV40 LTa gene-induced immortalized DA-cells do not form tumors as late as 18 months after transplantation. This observation suggests that such immortalized cells may be grafted into brain without significant danger of tumor formation. This is due to the fact that brain contains

LTa gene-inhibiting factors which block the production of LTa and thereby cell proliferation in grafted cells *in vivo*. See La Rosa, F.G. et al. (1997) *Cancer Letters* 113, 55-60.

5 Another major concern in the transplantation community is the issue of rejection of allogeneic cells or tissue. Allogeneic tissues are prone to rejection because it is difficult to remove all donor-antigen presenting cells primarily responsible for the induction of allo-immunization. See La Rosa, F.G. et al. (1990) *Transplantation* 49, 605-609. Although viral oncogene-induced immortalized cells are free from donor-antigen presenting cells, they may provoke a host immunological response for rejection due to presence of viral proteins. However, our present observation shows no evidence of any inflammatory response elicited by 10 grafted differentiated or undifferentiated IRB<sub>3</sub>AN<sub>27</sub> cells. These results suggest that SV40 large T-antigen gene-induced immortalized DA neurons may serve as an unlimited source of cells for transplant therapy and should be investigated for their potential use in advanced cases of Parkinson's disease. Such cells are neither tumorigenic nor immunogenic by the tests described herein.

15

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: UNIVERSITY TECHNOLOGY CORPORATION
- (ii) TITLE OF INVENTION: Transplantation of Immortalized Dopamine Cells to Improve Neurological Deficits
- (iii) NUMBER OF SEQUENCES: 4
- (iv) CORRESPONDENCE ADDRESS:
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  - (E) COUNTRY: US
  - (F) ZIP: 80303
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.30
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER:
  - (B) FILING DATE: 12-JUN-1998
  - (C) CLASSIFICATION:
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER: US 60/049,758
  - (B) FILING DATE: 13-JUN-1997
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER: US 60/049.801
  - (B) FILING DATE: 17-JUN-1997
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Greenlee, Lorange L
  - (B) REGISTRATION NUMBER: 27,894
  - (C) REFERENCE/DOCKET NUMBER: 42-98 WO
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: 303-499-8080
  - (B) TELEFAX: 303-499-8089

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 20 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: other nucleic acid  
(A) DESCRIPTION: /desc = "oligonucleotide"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

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20

(2) INFORMATION FOR SEQ ID NO:2:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 20 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: other nucleic acid  
(A) DESCRIPTION: /desc = "oligonucleotide"

(iii) HYPOTHETICAL: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

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(2) INFORMATION FOR SEQ ID NO:3:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 26 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: other nucleic acid  
(A) DESCRIPTION: /desc = "oligonucleotide"

(iii) HYPOTHETICAL: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

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26

(2) INFORMATION FOR SEQ ID NO:4:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 26 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: other nucleic acid

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(iii) HYPOTHETICAL: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

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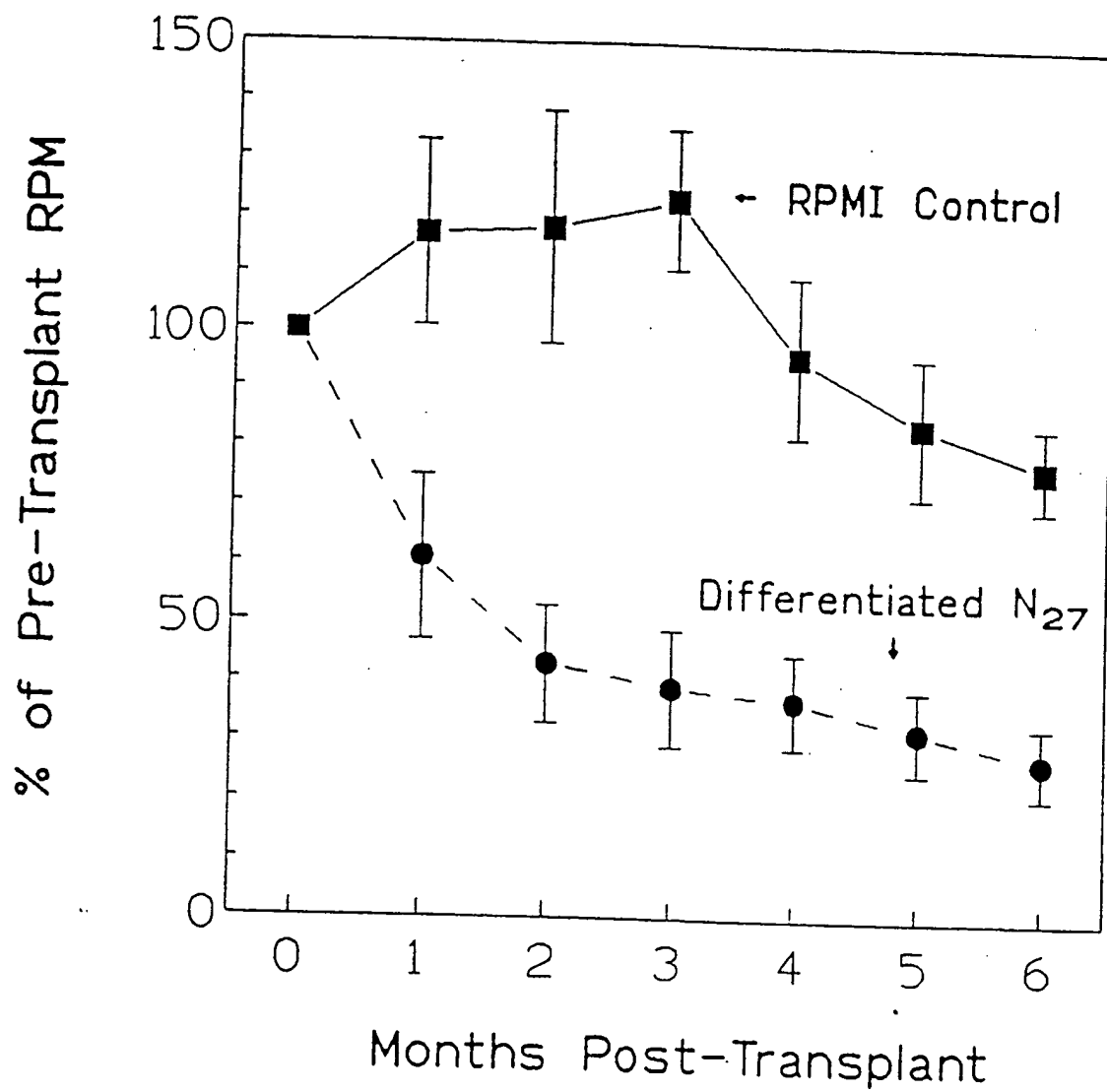
26

## WE CLAIM:

1. A cell line of human fetal brain cells having a gene encoding polyoma virus large T antigen and capable of expressing tyrosine hydroxylase.
2. The cell line of claim 1 wherein the said cells are non-tumorigenic when transplanted into the brain of an animal and are capable of growth and replication in *in vitro* culture.
3. The cell line of claim 1 wherein the cells are non-immunogenic after transplantation into the brain of an animal.
4. A cell line according to claim 1 wherein at least 50% of the cells are capable of synthesizing dopamine.
5. A cell line according to claim 1 wherein at least 90% of cells are capable of synthesizing dopamine.
6. Method of making a cell line capable of expressing tyrosine hydroxylase comprising  
isolating a suspension of human fetal brain cells,  
transfecting said cells with a gene encoding polyoma large T antigen and a selectable marker,  
thereby producing a culture containing transfected cells, and  
enriching said culture for transfected cells capable of expressing TH whereby a cell line capable of expressing TH is obtained.
7. The method of claim 6 wherein the step of enriching the culture comprises growing subclones of cells capable of expressing TH in alternating media conditions, at least one set of conditions being differentially favorable for growth of neuron cells compared to glial cells.
8. The method of claim 6 further comprising the step of growing the cells in a medium comprising estrogen, insulin and basic fibroblast growth factor.
9. A cell culture comprising cells having a gene encoding polyoma virus large T antigen and capable of expressing TH, in a medium capable of sustaining growth and replication of the cells.
10. The cell culture of claim 9 wherein the cells are immortalized human fetal brain cells.
11. The cell culture of claim 10 wherein the cells are non-tumorigenic when transplanted into the brain of an animal and are capable of growth and replication in *in vitro* culture.

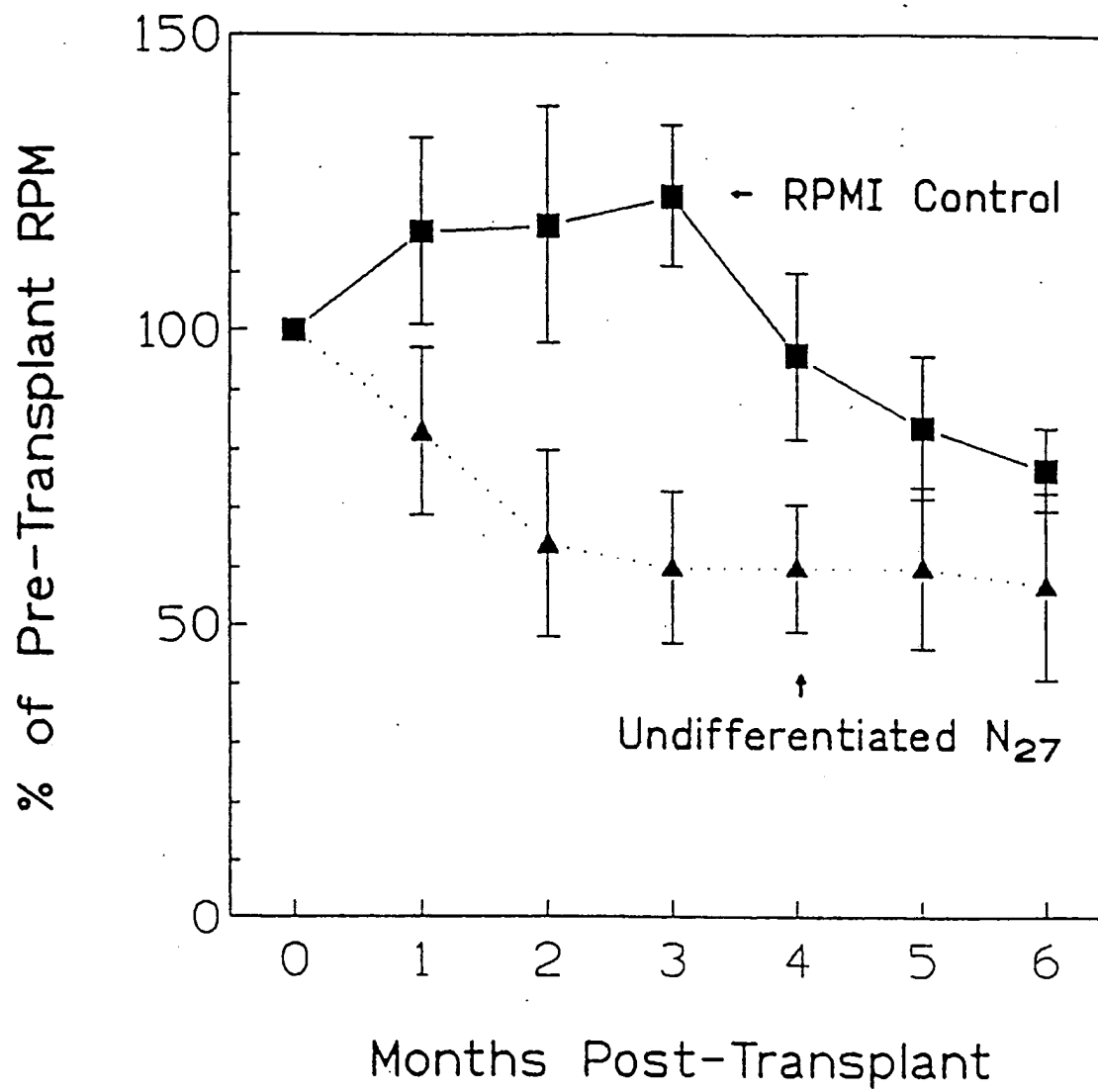
12. The cell culture of claim 10 wherein the cells are non-immunogenic after transplantation into the brain of an animal.
13. The cell culture of claim 9, wherein the medium comprises estrogen, insulin and basic fibroblast growth factor.
14. A method for treating an animal having a neurological deficit characterized by dopamine deficiency in a region of the brain of the animal, comprising the step of  
transplanting into said region a suspension of immortalized neurons capable of synthesizing dopamine.
15. The method of claim 14 wherein said immortalized neurons are non-tumorigenic and non-immunogenic when transplanted into the animal.
16. The method of claim 14 wherein the animal is human.
17. The method of claim 16 wherein the human is a patient having symptoms characteristic of Parkinson's Disease.
18. The method of claim 14 wherein the cells are differentiated.
19. A cell suspension for transplantation into a region of brain comprising an effective concentration of immortalized human neural cells capable of synthesizing dopamine, said cells being non-tumorigenic and non-immunogenic when transplanted into the brain, in a physiologically acceptable carrier.
20. The cell suspension of claim 18 wherein said cells are present in a range of from  $10^5$  -  $10^8$  cells/dose.
21. The cell suspension of claim 18 wherein said cells are present in a concentration range of from  $10^6$  -  $10^7$  cells/dose.

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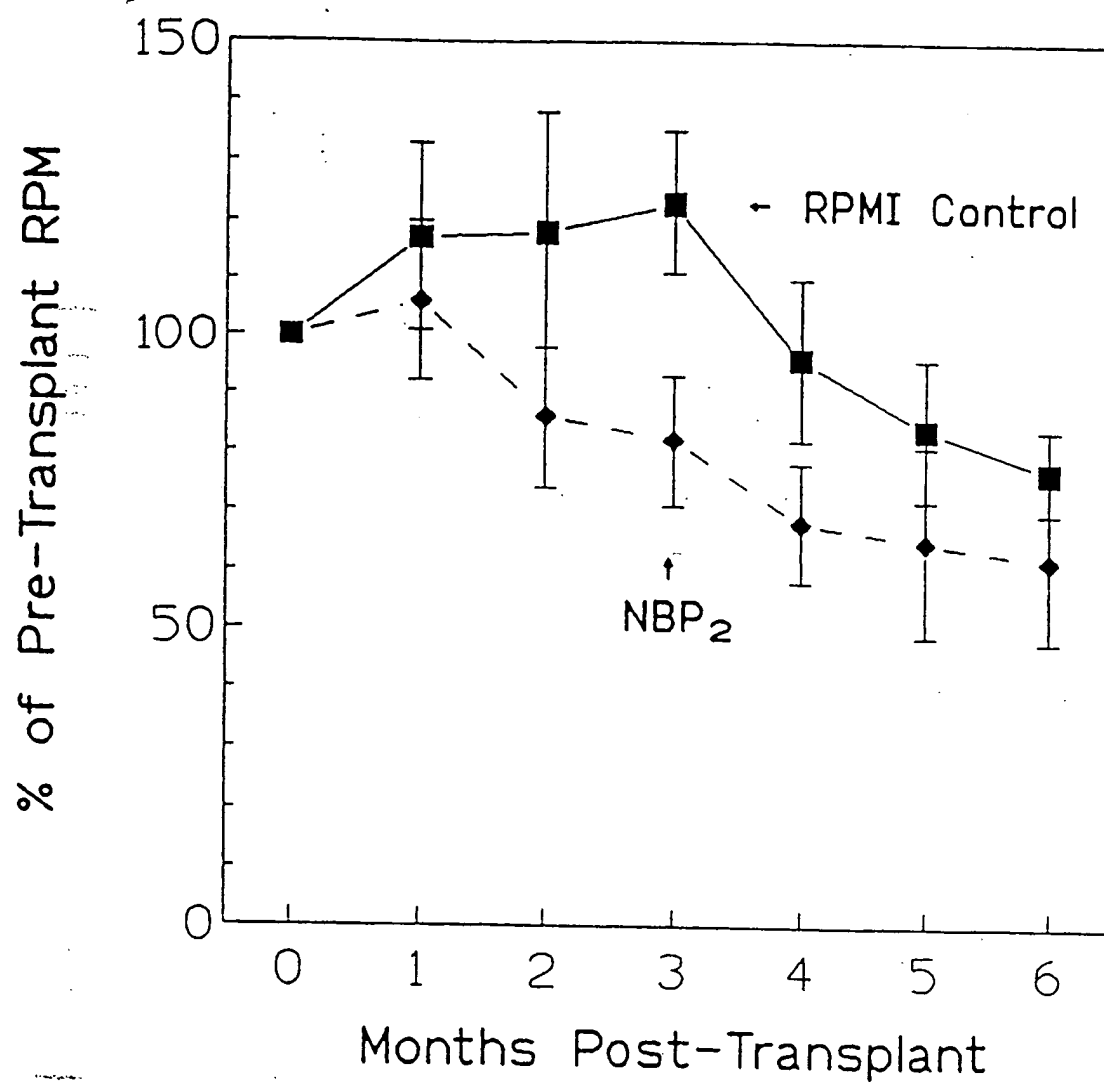
**FIG. 1**



2/3

**FIG. 2**

3/3

**FIG. 3**

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/12282

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(6) : A61K 35/00, 48/00; A01N 1/02; C12N 15/85,86 US CL : Please See Extra Sheet. According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) U.S. : 424/93.1, 93.2, 93.21; 435/1.1, 325, 366, 368, 383, 384; 514/44 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Please See Extra Sheet.		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	FREED et al. Transplantation of human fetal dopamine cells for Parkinson's Disease. Arch. Neurol. May 1990, Vol. 47, pages 505-512, entire document.	1-21
Y	SPENCER et al. Unilateral transplantation of human fetal mesencephalic tissue into the caudate nucleus of patients with Parkinson's Disease. New Engl. J. of Med. 26 November 1992, Vol. 327, No. 22, pages 1541-1548, entire document.	1-21
Y	FREED et al. Survival of implanted fetal dopamine cells and neurologic improvement 12 to 46 months after transplantation for Parkinson's Disease. New Engl. J. of Med. 26 November 1992, Vol. 327, No. 22, pages 1549-1555, entire document.	1-21
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
*A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art *A* document member of the same patent family	
Date of the actual completion of the international search  17 AUGUST 1998	Date of mailing of the international search report  02 OCT 1998	
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer <i>Anne-Marie Baker</i> ANNE-MARIE BAKER, PH.D. Telephone No. (703) 308-0196	

Form PCT/ISA/210 (second sheet)(July 1992)\*

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/12282

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	ADAMS et al. Characterization and transplantation of two neuronal cell lines with dopaminergic properties. Neurochemical Res. May 1996, Vol. 21, No. 5, pages 619-627, entire document.	1-21
Y	US 5,082,670 A (GAGE et al.) 21 January 1992 (21/1/92), see entire document.	1-21
Y	US 5,196,315 A (RONNETT et al.) 23 March 1993 (23/3/93), see entire document.	1-21
Y, P	US 5,690,927 A (MAJOR et al.) 25 November 1997 (25/10/97), see entire document.	1-21
Y, P	CLARKSON et al. Improvement of neurological deficits in 6-hydroxydopamine-lesioned rats after transplantation with allogeneic simian virus 40 large tumor antigen gene-induced immortalized dopamine cells. Proc. Natl. Acad. Sci. USA. February 1998, Vol 95, No. 3, pages 1265-1270, entire document.	1-21

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## A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

424/93.1, 93.2, 93.21; 435/1.1, 325, 366, 368, 383, 384; 514/44

## B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS

STN, file: medicine

search terms: dopamine, parkinson?, transplant?, cell, tyrosine, brain, fet?, neuron, human, T antigen, cell line, human fetal brain, Freed CR, immortal?